

Sample Form W-8 BEN-E Form for Entities

<p>Form W-8BEN-E (Rev. October 2021) Department of the Treasury Internal Revenue Service</p>	<p>Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)</p> <p>▶ For use by entities. Individuals must use Form W-8BEN. ▶ Section references are to the Internal Revenue Code. ▶ Go to www.irs.gov/FormW8BENE for instructions and the latest information. ▶ Give this form to the withholding agent or payer. Do not send to the IRS.</p>	<p>OMB No. 1545-1621</p>																																						
<p>Do NOT use this form for:</p> <ul style="list-style-type: none"> • U.S. entity or U.S. citizen or resident W-9 • A foreign individual W-8BEN (Individual) or Form 8233 • A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the United States (unless claiming treaty benefits) W-8ECI • A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) W-8IMY • A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) W-8ECI or W-8IMY • Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) 																																								
<p>Part I Identification of Beneficial Owner</p>																																								
<p>1 Name of organization that is the beneficial owner <u>ABC SA DE CV</u></p>		<p>2 Country of incorporation or organization <u>MEXICO</u></p>																																						
<p>3 Name of disregarded entity receiving the payment (if applicable, see instructions)</p>																																								
<p>4 Chapter 3 Status (entity type) (Must check one box only):</p> <table style="width: 100%; border: none;"> <tr> <td><input type="checkbox"/> Simple trust</td> <td><input type="checkbox"/> Tax-exempt organization</td> <td><input checked="" type="checkbox"/> Corporation</td> <td><input type="checkbox"/> Partnership</td> </tr> <tr> <td><input type="checkbox"/> Central Bank of Issue</td> <td><input type="checkbox"/> Private foundation</td> <td><input type="checkbox"/> Complex trust</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Grantor trust</td> <td><input type="checkbox"/> Disregarded entity</td> <td><input type="checkbox"/> Estate</td> <td></td> </tr> <tr> <td></td> <td></td> <td><input type="checkbox"/> International organization</td> <td></td> </tr> </table> <p><small>If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III.</small></p>			<input type="checkbox"/> Simple trust	<input type="checkbox"/> Tax-exempt organization	<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Partnership	<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Private foundation	<input type="checkbox"/> Complex trust		<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Estate				<input type="checkbox"/> International organization																							
<input type="checkbox"/> Simple trust	<input type="checkbox"/> Tax-exempt organization	<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Partnership																																					
<input type="checkbox"/> Central Bank of Issue	<input type="checkbox"/> Private foundation	<input type="checkbox"/> Complex trust																																						
<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Estate																																						
		<input type="checkbox"/> International organization																																						
<p>5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)</p> <table style="width: 100%; border: none;"> <tr> <td><input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).</td> <td><input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.</td> </tr> <tr> <td><input type="checkbox"/> Participating FFI.</td> <td><input type="checkbox"/> Foreign government, government of a U.S. possession, or central bank of issue. Complete Part XIII.</td> </tr> <tr> <td><input type="checkbox"/> Reporting Model 1 FFI.</td> <td><input type="checkbox"/> International organization. Complete Part XIV.</td> </tr> <tr> <td><input type="checkbox"/> Reporting Model 2 FFI.</td> <td><input type="checkbox"/> Exempt retirement plans. Complete Part XV.</td> </tr> <tr> <td><input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.</td> <td><input type="checkbox"/> Entity wholly owned by exempt beneficial owners.</td> </tr> <tr> <td><input type="checkbox"/> Sponsored FFI. Complete Part IV.</td> <td><input type="checkbox"/> Territory financial institution. Complete Part XV.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.</td> <td><input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVI.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.</td> <td><input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XVII.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.</td> <td><input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XVIII.</td> </tr> <tr> <td><input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.</td> <td><input type="checkbox"/> 501(c) organization. Complete Part XXI.</td> </tr> <tr> <td><input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.</td> <td><input type="checkbox"/> Nonprofit organization. Complete Part XXII.</td> </tr> <tr> <td><input type="checkbox"/> Owner-documented FFI. Complete Part X.</td> <td><input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.</td> </tr> <tr> <td><input type="checkbox"/> Restricted distributor. Complete Part XI.</td> <td><input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.</td> </tr> <tr> <td></td> <td><input checked="" type="checkbox"/> Active NFFE. Complete Part XXV.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Passive NFFE. Complete Part XXVI.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Direct reporting NFFE.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.</td> </tr> <tr> <td></td> <td><input type="checkbox"/> Account that is not a financial account.</td> </tr> </table>			<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).	<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.	<input type="checkbox"/> Participating FFI.	<input type="checkbox"/> Foreign government, government of a U.S. possession, or central bank of issue. Complete Part XIII.	<input type="checkbox"/> Reporting Model 1 FFI.	<input type="checkbox"/> International organization. Complete Part XIV.	<input type="checkbox"/> Reporting Model 2 FFI.	<input type="checkbox"/> Exempt retirement plans. Complete Part XV.	<input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.	<input type="checkbox"/> Entity wholly owned by exempt beneficial owners.	<input type="checkbox"/> Sponsored FFI. Complete Part IV.	<input type="checkbox"/> Territory financial institution. Complete Part XV.	<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.	<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVI.	<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.	<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XVII.	<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XVIII.	<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.	<input type="checkbox"/> 501(c) organization. Complete Part XXI.	<input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.	<input type="checkbox"/> Nonprofit organization. Complete Part XXII.	<input type="checkbox"/> Owner-documented FFI. Complete Part X.	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.	<input type="checkbox"/> Restricted distributor. Complete Part XI.	<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.		<input checked="" type="checkbox"/> Active NFFE. Complete Part XXV.		<input type="checkbox"/> Passive NFFE. Complete Part XXVI.		<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.		<input type="checkbox"/> Direct reporting NFFE.		<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.		<input type="checkbox"/> Account that is not a financial account.
<input type="checkbox"/> Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).	<input type="checkbox"/> Nonreporting IGA FFI. Complete Part XII.																																							
<input type="checkbox"/> Participating FFI.	<input type="checkbox"/> Foreign government, government of a U.S. possession, or central bank of issue. Complete Part XIII.																																							
<input type="checkbox"/> Reporting Model 1 FFI.	<input type="checkbox"/> International organization. Complete Part XIV.																																							
<input type="checkbox"/> Reporting Model 2 FFI.	<input type="checkbox"/> Exempt retirement plans. Complete Part XV.																																							
<input type="checkbox"/> Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions.	<input type="checkbox"/> Entity wholly owned by exempt beneficial owners.																																							
<input type="checkbox"/> Sponsored FFI. Complete Part IV.	<input type="checkbox"/> Territory financial institution. Complete Part XV.																																							
<input type="checkbox"/> Certified deemed-compliant nonregistering local bank. Complete Part V.	<input type="checkbox"/> Excepted nonfinancial group entity. Complete Part XVI.																																							
<input type="checkbox"/> Certified deemed-compliant FFI with only low-value accounts. Complete Part VI.	<input type="checkbox"/> Excepted nonfinancial start-up company. Complete Part XVII.																																							
<input type="checkbox"/> Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII.	<input type="checkbox"/> Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XVIII.																																							
<input type="checkbox"/> Certified deemed-compliant limited life debt investment entity. Complete Part VIII.	<input type="checkbox"/> 501(c) organization. Complete Part XXI.																																							
<input type="checkbox"/> Certain investment entities that do not maintain financial accounts. Complete Part IX.	<input type="checkbox"/> Nonprofit organization. Complete Part XXII.																																							
<input type="checkbox"/> Owner-documented FFI. Complete Part X.	<input type="checkbox"/> Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII.																																							
<input type="checkbox"/> Restricted distributor. Complete Part XI.	<input type="checkbox"/> Excepted territory NFFE. Complete Part XXIV.																																							
	<input checked="" type="checkbox"/> Active NFFE. Complete Part XXV.																																							
	<input type="checkbox"/> Passive NFFE. Complete Part XXVI.																																							
	<input type="checkbox"/> Excepted inter-affiliate FFI. Complete Part XXVII.																																							
	<input type="checkbox"/> Direct reporting NFFE.																																							
	<input type="checkbox"/> Sponsored direct reporting NFFE. Complete Part XXVIII.																																							
	<input type="checkbox"/> Account that is not a financial account.																																							
<p>6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address). <u>CALLE 111, COLONIA 111</u></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;">City or town, state or province. Include postal code where appropriate. <u>MEXICO D.F. 03100</u></td> <td style="width: 30%;">Country <u>MEXICO</u></td> </tr> </table> <p>7 Mailing address (if different from above)</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;">City or town, state or province. Include postal code where appropriate.</td> <td style="width: 30%;">Country</td> </tr> </table>			City or town, state or province. Include postal code where appropriate. <u>MEXICO D.F. 03100</u>	Country <u>MEXICO</u>	City or town, state or province. Include postal code where appropriate.	Country																																		
City or town, state or province. Include postal code where appropriate. <u>MEXICO D.F. 03100</u>	Country <u>MEXICO</u>																																							
City or town, state or province. Include postal code where appropriate.	Country																																							
<p>For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 59689N Form W-8BEN-E (Rev. 10-2021)</p>																																								

Part I required/
Parte I requerida

Corporation is a common business entity, select the type that applies to your business.

 Corporación es la entidad comercial común, seleccione el tipo que se aplica a su negocio.

Common entity status types **Active NFFE**, select and complete section XXV (page 7), for **Passive NFFE**, complete section XXVII (page 7).

 Tipos de estado de entidad común NFFE activa, seleccione y complete la sección XXV (página 7), para NFFE pasiva, complete la sección XXVII (página 7).

Form W-8-BEN-E Page 2

Part I Beneficial Owner (continued)

8 U.S. TIN (if required)

9a Foreign TIN **AB123456789123**

10 Check if FTIN not legally required.

Foreign identifying number (FTIN) is required.
Se requiere un número de identificación extranjero (FTIN).

If you do not have an FTIN, please check off section 9c.
Si no tiene un FTIN, marque la sección 9c.

If status type **Active NFFE** was selected in page 1, check section 39.
 Si se seleccionó el tipo de estado NFFE activa en la página 1, marque la sección 39.

Part XXV Active NFFE

39 I certify that:

- The entity identified in Part I is a foreign entity that is not a financial institution;
- Less than 50% of such entity's gross income for the preceding calendar year is passive income; **and**
- Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly) (see instructions for the definition of passive income).

Part XXVI Passive NFFE

40a I certify that the entity identified in Part I is a foreign entity that is not a financial institution (other than an investment entity organized in the possession of the United States) and is not a publicly traded NFFE (or affiliate), excepted territory NFFE, direct reporting NFFE, or sponsored direct reporting NFFE.

Check box 40b or 40c, whichever applies.

b I further certify that the entity identified in Part I has no substantial U.S. owners (or, if applicable, U.S. owners that are not controlling U.S. persons) of the NFFE in Part XXIX.

c I further certify that the entity identified in Part I has provided the name, address, and TIN of each substantial U.S. owner (or, if applicable, U.S. owner that is not a controlling U.S. person) of the NFFE in Part XXIX.

If status type **Passive NFFE** was selected in page 1, check section 40 and check boxes 40b or 40c whichever applies.
 Si se seleccionó el tipo de estado NFFE pasiva en la página 1, marque la sección 40 y marque las casillas 40b o 40c, según corresponda.

Part XXX required/ Parte XXX requerida

Part XXX Certification

Under penalties of perjury, I declare that I have signed this form and the information provided is true, correct, and complete. I further certify under penalties of perjury that:

- The entity identified on line 1 of this form is a foreign entity that is not a financial institution, and I am not a U.S. person or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes, or is submitting this form to a U.S. person or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes;
- The entity identified on line 1 of this form is not a U.S. person or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes;
- This form relates to: (a) income effectively connected with the conduct of a trade or business in the United States, (b) income effectively connected with the conduct of a trade or business in the United States, (c) the partner's share of a partnership's effectively connected taxable income, or (d) a partnership interest subject to withholding under section 1446(f); **and**
- For broker transactions, I am not a U.S. person or proceeds to which this form relates, is using this form to certify its status for chapter 4 purposes.

Furthermore, I authorize the person signing this form to be provided with control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

I certify that I have the capacity to sign for the entity identified on line 1 of this form.

Sign Here John Doe JOHN DOE 11/1/2021

Signature of individual authorized to sign for beneficial owner Print Name Date (MM-DD-YYYY)

Check this section if person signing the form is authorized on behalf of entity. Marque esta sección si la persona que firma el formulario está autorizada en nombre de la entidad.

Date required/ Fecha requerida

Sign and printed name required/ Firma y nombre en letra de imprenta requerida